



# Flexible quasi-solid-state lithium-ion capacitors employing amorphous SiO<sub>2</sub> nanospheres encapsulated in nitrogen-doped carbon shell as a high energy anode

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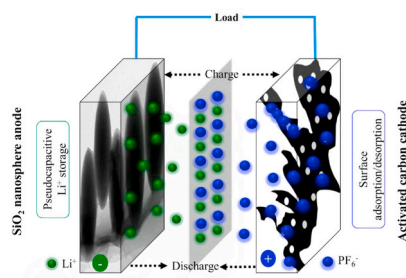
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## HIGHLIGHTS

- Highly interconnected 3D SiO<sub>2</sub> nanospheres embedded Nitrogen-doped carbon shell.
- SiO<sub>2</sub> exhibits pseudocapacitive effect to enable a fast charge storage process.
- The Li-ion capacitor delivers high energy (139 Wh kg<sup>-1</sup>) and power (42 kW kg<sup>-1</sup>).
- Flexible Li-ion capacitor has stability at different bending conditions.

## GRAPHICAL ABSTRACT



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## ABSTRACT

Lithium-ion hybrid capacitors (LICs) take the advantage of simultaneous high energy – power output, and become increasingly important for next generation applications. Developing a high performing LICs with high energy-power-cycle combination remains a significant challenge due to low capacity intercalation electrodes, and kinetically sluggish alloying type electrodes. A strategy employing fast pseudocapacitive lithium ion storage in high-capacity alloying type anode, rather than a bulk storage, can output kinetically superior LICs with high energy even at high power conditions. Herein, we demonstrate a highly interconnected 3-dimensional (3D) SiO<sub>2</sub> nanospheres embedded Nitrogen-doped carbon shell with fast lithium ion storage kinetics as high performing anode for LICs. As a result, LIC with a high energy (139 Wh kg<sup>-1</sup>), high power density (42 kW kg<sup>-1</sup>), and super stability (20,000 cycles) is obtained, outperforming previously studied alloying type metal oxide and sulfide anodes. A flexible LICs is further demonstrated which shows good stability under different bending conditions. The current research promotes the practical utilization of earth-abundant material as a high capacity and high rate electrode for the next-generation flexible and wearable devices.

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## 1. Introduction

Lithium-ion based technologies are the most prominent device to serve portable electronic devices and have attracted tremendous attention towards next generation large-scale applications [1,2]. The research on next-generation storage device with simultaneous high energy and high power has seen an extensive growth during the past years to meet the demand for electric vehicle and hybrid electric vehicles applications [3,4]. The state-of-the-art lithium-ion batteries (LIBs) are severely limited by their low power density, and failure at high current densities due to sluggish intercalation storage kinetics. Although supercapacitors can deliver a high power, the energy output of supercapacitors cannot meet the demand. To address this issue, and to satisfy the emerging demands, a hybrid device merging the advantages of LIBs and supercapacitors – Lithium-ion hybrid capacitor (LIC) was proposed [5,6]. LICs use a battery type anode, and a capacitor type porous carbon cathode to combine the advantages of simultaneous faradaic Li-ion de/intercalation, and non-faradic surface reaction to achieve a high energy and high power [7,8].

The utilization of high capacity anode with superior rate capability with suitable working voltage is very essential to achieve a high performing LICs [9,10]. LICs have been previously studied with graphite based intercalation type anode, and several porous carbon based cathode [5,6]. However, the low capacity graphite anode limits the realization of high energy LICs [11,12]. Furthermore, the low lithium-ion intercalation potential ( $\sim 0.2$  V vs. Li) in graphite anode arises safety issues due to lithium dendrite growth. A high polarization at high current rates enhances the circumstances towards lithium dendrite formation, leading to safety issues in LICs [13].

Recently, Ti-based anodes have been investigated as an alternate, and significant progress in performance had been achieved. However, the low capacity and high intercalation potential negate the realization of high energy batteries [14,15]. More recently, V-based intercalation anodes are also studied as an alternative to commercial graphite. However, the fast active material deterioration impedes the long-term stability of LICs [16,17]. High capacity anodes working efficiently at high power with high stability are the key to the development of next-generation high energy LICs.

A variety of metal oxides and metal sulfides based on Sn, Cu, Ni, Mn, and Co utilizing alloying/conversion type Li-ion storage have been investigated for both LIBs and LICs [18–20]. However, they failed to achieve a high energy output due to sluggish Li-ion storage kinetics during conversion reactions [21,22]. Overcoming the sluggish reaction with fast pseudocapacitive Li-ion storage can be an eminent approach to simultaneously elevate the energy and power output. Recently, silica ( $\text{SiO}_2$ ) has been proposed as a promising anode for LIBs because of their large lithium storage capacity, and a low voltage potential [23,24]. As the abundant element in earth's crust,  $\text{SiO}_2$  could favorably develop a cheap next generation Li-ion storage device, and could be competing against other metal oxides. Nevertheless,  $\text{SiO}_2$  suffers from intrinsic poor electrical conductivity and high volume expansion with repeated lithium insertion/extraction leading to poor rate performance and severe capacity fading.

Designing a nanostructured architecture such as nanotubes, nanowires, and nanosphere could overcome storage kinetics and volume expansion issues with repeated cycling [25,26]. While embedding the active  $\text{SiO}_2$  nanoparticles into highly conductive carbon matrix could enhance the electrical conductivity of  $\text{SiO}_2$  particles and thereby achieving a high rate capability [27,28]. Reddy et al. introduced nitrogen heteroatoms into the single dimensional graphene lattice, and achieved twice the capacity of the undoped graphene anode [29]. Nitrogen heteroatoms can enhance both the surface polarity, and the electrical conductivity, thereby improving the Li storage capability [30]. In synergy, 3D nanostructured  $\text{SiO}_2$  constrained inside carbon shell can easily overcome by constraints, and volume changes on continuous lithium-ion insertion. Such nanoarchitecture can favor a significant

pseudocapacitive effect to enable a fast charge storage process [31]. Utilizing the fast pseudocapacitive Li-ion storage anodes in LICs are highly promising to retain a high energy density even at high power conditions [32–34].

In this work, we synthesize and utilize nitrogen heavily doped and highly interconnected  $\text{SiO}_2$  nanosphere inside the carbon nanoshell ( $\text{SiO}_2/\text{NC}$ ) as a high performing pseudocapacitive anode for LICs. When employed in Li-ion half-cell,  $\text{SiO}_2/\text{NC}$  delivered a superior performance with a high discharge capacity of  $858 \text{ mAh g}^{-1}$  ( $0.1 \text{ A g}^{-1}$ ). Even at a higher current density of  $5 \text{ A g}^{-1}$ ,  $\text{SiO}_2/\text{NC}$  delivered an adequate, capacity of  $\sim 275 \text{ mAh g}^{-1}$  along with excellent capacity recovery. The  $\text{SiO}_2/\text{NC}$  can function efficiently even after 1000 cycles with a capacity of  $480 \text{ mAh g}^{-1}$  ( $1 \text{ A g}^{-1}$ ). When a LIC is constructed by coupling with commercial activated cathode, a high energy density of  $\sim 139 \text{ Wh kg}^{-1}$  is realized, bridging the gap between low power batteries and low energy supercapacitors. The approach of utilizing alloying type anodes with pseudocapacitive Li-ion storage synergistically elevates the energy and power, outperforming conventional intercalation electrodes. The obtained performance was highly comparable to that of several previously reported metal oxides and sulfides based anodes [35,36]. The high performance is attributed majorly due to the 3D core-shell structured of  $\text{SiO}_2/\text{NC}$  embedded in highly conducting carbon network, resulted in fast lithium storage kinetics. Furthermore, an ultra-high stability for 20, 000 cycles with  $\sim 80\%$  energy retention is noted.

In addition, the liquid electrolytes in LICs could pose a serious safety issues due to their highly flammable nature. Therefore, a safer device utilizing solid electrolytes, or quasi-solid state electrolytes must be realized. Realizing such devices can further attract flexible and wearable energy storage devices market [37]. Employing a Li-ion conducting P (VDF)-HFP polymer electrolyte matrix can integrate safety to the high energy LIC device. The assembled quasi-solid state LICs showed high flexibility, a good energy retention, and stability under different bending conditions. The realization of flexible LIC devices can shed light on development of highly safe, and high energy devices for next-generation applications.

## 2. Results and discussion

The synthesis process for core-shell  $\text{SiO}_2/\text{NC}$  nanosphere is shown in Scheme 1. The powder diffraction pattern in Fig. S1a shows a broad peak at  $22^\circ$ , revealing the amorphous nature of silica and carbon in  $\text{SiO}_2/\text{NC}$  sample, whereas another probable reason is that carbon peak overlaps with diffraction peak of  $\text{SiO}_2$  nanosphere. A small shift of  $24^\circ$  ( $2\theta$ ) peak for NC sample represents the presence of turbostratic nitrogen doped-carbon derived from the guanine source in NC sample. There is no observation of sharp peak for silica, which shows that  $\text{SiO}_2$  was not reduced to Si, while on the hydrothermal reaction or at high-temperature calcination. The transformation from white color to black color powder is noted after formation heteroatom-doped carbon shell on the surface of  $\text{SiO}_2$  nanosphere. The Raman spectrum of  $\text{SiO}_2/\text{NC}$  in Fig. S1b shows the presence of G and D-bands which are characteristic of peaks of carbon originating from graphitic  $\text{sp}^2$  carbon atom planes, and defect-induced vibration from carbon planes, respectively [38,39]. The  $\text{N}_2$  adsorption/desorption isotherm of  $\text{SiO}_2/\text{NC}$  (Fig. S1c) shows Type-IV behavior, indicating the presence of large number of mesopores that facilitate easy ion/electron pathway. The BET surface area of the  $\text{SiO}_2/\text{NC}$  material is  $35 \text{ m}^2/\text{g}$ , and no significant remark on micropores is noted from adsorption isotherm.

The SEM morphology studies show the nanosphere morphology of  $\text{SiO}_2$  particles, and well connected with nitrogen-doped carbon shell. The average diameter of  $\text{SiO}_2$  nanospheres is  $\sim 200 \text{ nm}$  (Fig. 1a and Fig. S2). The TEM images in Fig. 1b confirms the highly interconnected, smooth, and uniform spherical nature  $\text{SiO}_2/\text{NC}$ . The HRTEM image in Fig. 1c further confirms the presence of a thin layer of nitrogen-doped carbon nanoshell on the surface of  $\text{SiO}_2$  core. The EDX elemental mapping image of  $\text{SiO}_2/\text{NC}$  (Fig. 1d–h) shows the uniform distribution of

carbon and nitrogen heteroatoms over the on the surface of SiO<sub>2</sub> nanospheres. The presence of nitrogen heteroatoms in the body of SiO<sub>2</sub> indicates the possibility of presence of nitrogen doping in oxygen atoms of SiO<sub>2</sub> [40]. The SiO<sub>2</sub>/NC spheres are continuously connected, and this morphology enable a continuous electron and lithium ion movement [41,42]. Generally, a rigid carbon coating tends to crack upon cycling, and the coulombic efficiency drops rapidly after few cycles, and thereby degrading the performance of LIBs. However, a thin carbon layer can facilitate uniform electrode-electrolyte interface layer, and deliver a stable coulombic efficient upon cycling [9,38].

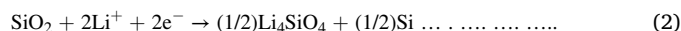
TGA data are shown in Fig. S3 confirms that the amount of carbon content in SiO<sub>2</sub>/NC. There are two steps of weight loss, starting from 40 °C to 500 °C, mainly due to the removal of organic compounds like hydroxyl group and oxygen group presence in the surface of the material [43]. The second weight loss begins at 500 °C continues till 800 °C, it obviously from the oxidation of carbon in the material [44]. These results significantly show that 24.8 wt % of carbon content in SiO<sub>2</sub>/NC. Elemental (C, H, N, S) analysis is further utilized to confirm the amount of carbon in SiO<sub>2</sub>/NC sample. The amount of carbon in SiO<sub>2</sub>/NC is evaluated to be ~21.4 wt%, and additionally, the amount of nitrogen is calculated to be ~4.2 wt% in SiO<sub>2</sub>/NC, which is a close value obtained from the TGA results. The XPS survey spectrum (Fig. 1i) of SiO<sub>2</sub>/NC confirms the presence of Si, O, N and C elements [45,46]. The deconvoluted Si2p spectrum (Fig. 1j) of SiO<sub>2</sub>/NC shows the peaks centered at 103.5 eV attributed to tetraivalent state of Si in SiO<sub>2</sub>. To understand the chemical nature of nitrogen, N1s spectra (Fig. 1k) is deconvoluted, and it shows the presence of pyridinic (398 eV) and pyrrolytic (401 eV) type of nitrogen species [47–50]. The content of nitrogen doping, especially that of the presence of pyrrolytic nitrogen, in SiO<sub>2</sub>/NC material would show higher and is believed to benefit the electrical conductivity and provide additional anchoring sites for Li-ions, giving rise to higher lithium storage capacity [51].

## 2.1. Half-cell performance

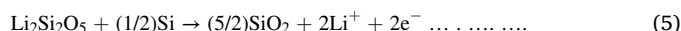
The lithium storage mechanism in SiO<sub>2</sub>/NC is majorly by the alloying/de-alloying reaction. Cyclic voltammetry was used to investigate the lithium storage process of the SiO<sub>2</sub>/NC anode, and Fig. 2a shows the CV curves of SiO<sub>2</sub>/NC between 3 and 0.01 V at 0.1 mV s<sup>-1</sup>. The first cathodic discharge scan shows two broad irreversible reduction peak at ~1.3 V and ~0.65 V, attributed to electrolyte decomposition and

formation of solid electrolyte interface (SEI) layer over the particle surface which is very common for lithium anodes [52]. From the consecutive cycles, CV curves show a redox peak around ~0.65 V during the cathodic scan and ~1.1 V during the anodic scan. The shape of the CV curves is well preserved, indicating reversible lithium storage in SiO<sub>2</sub> anode. The lithium storage behavior in SiO<sub>2</sub> is not known and still under debate, and different storage mechanisms have been proposed by several research groups [25–28]. The lithium storage begins with the formation of an irreversible Li<sub>2</sub>O and lithium silicate (Li<sub>2</sub>Si<sub>2</sub>O<sub>5</sub>) phase, consuming a large irreversible capacity during first discharge, along with formation of metallic silica (Si). The metallic silica further undergoes alloying/conversion type lithium storage that are highly reversible on consecutive cycles. The Li-ion storage mechanism in amorphous SiO<sub>2</sub> based anode materials during discharging and charging process are shown in equations (1) to (5) [53,54].

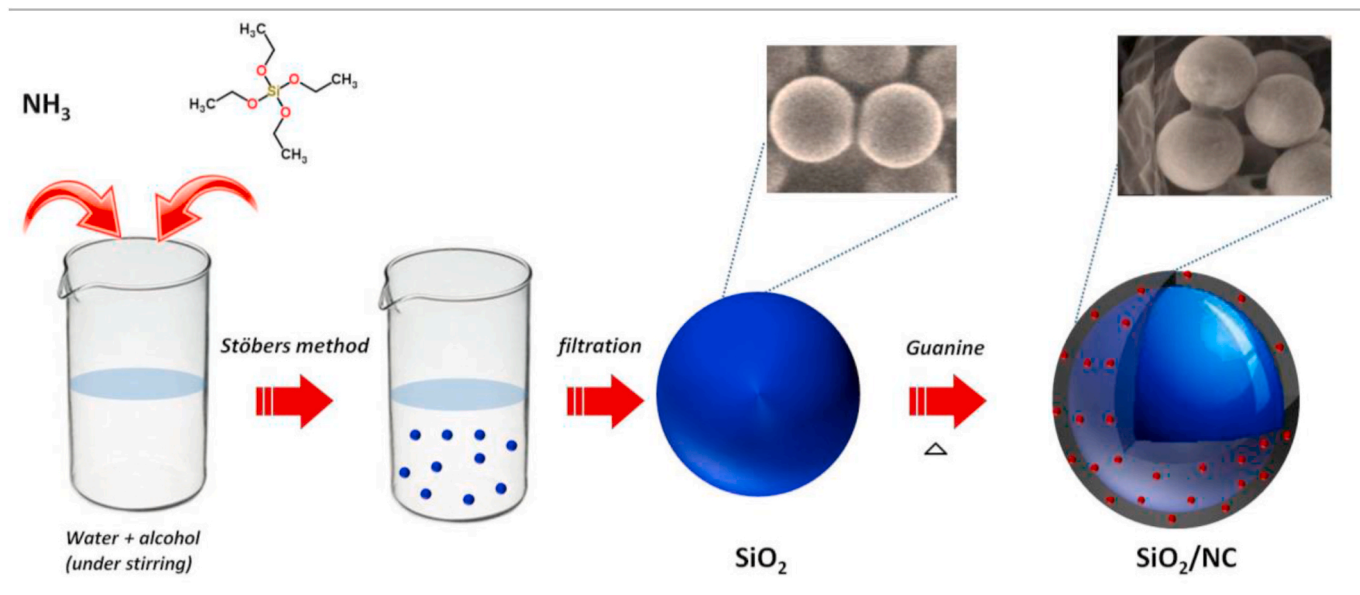
Discharge reaction:



Charge reaction:



The charge-discharge voltage profiles of SiO<sub>2</sub>/NC at 0.1 A g<sup>-1</sup> is shown in Fig. 2b. The first discharge curves show an irreversible plateau ~0.65 V corresponding to formation of SEI layer and irreversible Li<sub>2</sub>O and lithium silicate (Li<sub>2</sub>Si<sub>2</sub>O<sub>5</sub>) phase. The SiO<sub>2</sub> delivered a discharge capacity of ~2450 mAh g<sup>-1</sup> at 0.1 A g<sup>-1</sup>, among which 858 mAh g<sup>-1</sup> was reversible during consecutive charging. Although a low initial coulombic efficiency (35%) was shown, stable and reversible lithium storage in consecutive cycles pushes the efficiency to near 99%. The SiO<sub>2</sub>/NC exhibited a very low initial capacity loss and discharge capacity stabilized from 5th discharge with capacity ~840 mAh g<sup>-1</sup> and maintained excellent lithium storage after that. Note that the capacity includes the combined mass of both SiO<sub>2</sub> nanospheres and nitrogen doped carbon shell. The capacity retention after the 150th cycle is 102% with discharge capacity ~858 mAh g<sup>-1</sup>, depicting the possibility of huge and highly reversible lithium storage behavior with SiO<sub>2</sub>/NC (Fig S4).



**Scheme 1.** Schematic representation of fabrication process for core-shell SiO<sub>2</sub>/NC nanosphere.

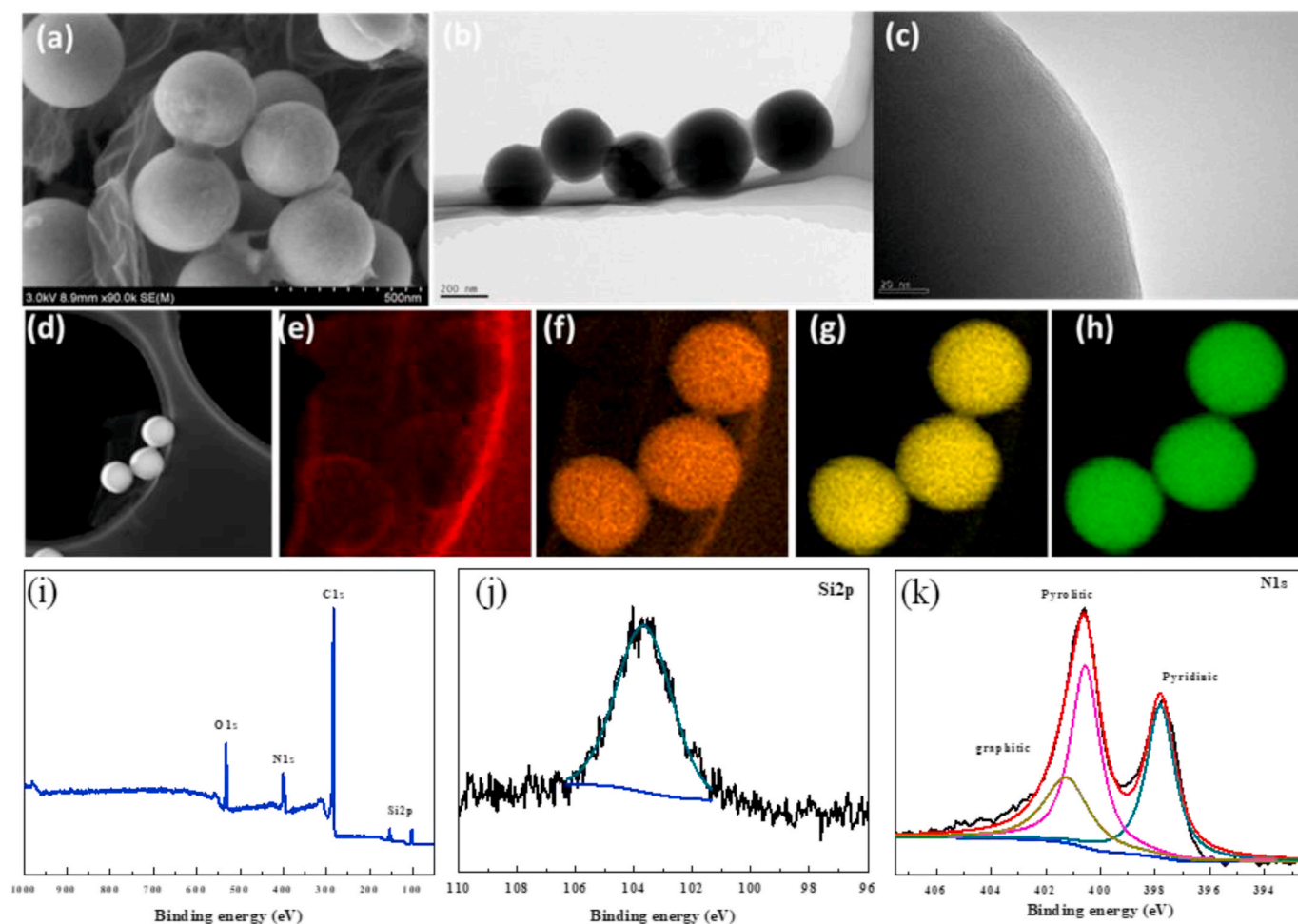
High rate performance and robust cycling performance is crucial for lithium-ion storing anodes. The rate performance of SiO<sub>2</sub> and SiO<sub>2</sub>/NC is performed at various currents and presented in Fig. 2c and d. A superb high rate capability has been demonstrated by SiO<sub>2</sub>/NC, delivering a discharge capacity of ~780, 604, 438, and 337 mAh g<sup>-1</sup> at 0.25, 0.5, 1, and 2 A g<sup>-1</sup>, respectively. Even at an extremely high current of ~5 A g<sup>-1</sup>, SiO<sub>2</sub>/NC, efficiently stored lithium ions and delivered ~275 mAh g<sup>-1</sup>. In contrast, pristine SiO<sub>2</sub> cannot withstand high current conditions and fails under such robust condition, delivering a poor discharge capacity of 330, and 40 mAh g<sup>-1</sup> at 0.25 and 5 A g<sup>-1</sup>, respectively. This result shows the failure of alloying/de-alloying reaction in pristine SiO<sub>2</sub> without carbon environment. Additionally, SiO<sub>2</sub>/NC showed excellent capacity recovery ability by retaining the discharge capacity ~613 mAh g<sup>-1</sup> when the current was brought back to 0.5 A g<sup>-1</sup>. Such a superior performance is synergistically enhanced by high electronic conductivity in the carbon shell by nitrogen heteroatoms. The performance of SiO<sub>2</sub>/NC is compared with other nanostructured SiO<sub>2</sub> anodes in Table 1, and it can be observed that SiO<sub>2</sub>/NC delivered a superior performance. To further evaluate the kinetic properties, EIS was measured for pristine SiO<sub>2</sub>, and SiO<sub>2</sub>/NC. The Nyquist plots of pristine SiO<sub>2</sub>, SiO<sub>2</sub>/NC (Fig. S5) clearly shows the charge transfer resistance for SiO<sub>2</sub>/NC was very much reduced, compared to that of the pristine SiO<sub>2</sub>. The introduction of carbon shell containing nitrogen hetero atoms greatly improves the kinetics of Li-ion. The nanosphere morphology can also provide a large number of active lithium storage reaction sites. The favorable design of placing the SiO<sub>2</sub> particles over carbon platform can provide fast lithium kinetics and electron flow between SiO<sub>2</sub> particles through the shorter

path and thereby overcome any lithium diffusion limitations at higher current. In absence of carbon shell, the electronic flow between the SiO<sub>2</sub> particles becomes negligible, and thereby making incomplete alloying reactions. The highly dense, and spherical morphology of SiO<sub>2</sub> nanoparticles can increase the packing density, and is highly beneficial for achieving a high volumetric capacity. To evaluate the electrode-packing density of SiO<sub>2</sub>/NC, the materials were pressed into a thin film under a high pressure 100 MPa, and the electrode-packing density of SiO<sub>2</sub>/NC is calculated to be ~1.3 g/cc. The corresponding volumetric capacity of the SiO<sub>2</sub>/NC can reach ~1014, 785, 569, 438, 357 mAh cc<sup>-1</sup> at 0.25, 0.5, 1, 2, and 5 A g<sup>-1</sup>, much higher than conventional graphite anode [55].

The cyclic voltammetry was performed to elucidate the high Li ion storage kinetics in SiO<sub>2</sub>/NC, at different scan rates (0.2–3 mV s<sup>-1</sup>), and it can be seen that the shape profile of CV curves are well maintained even at high scan rates, indicating a faster lithium storage kinetics (Fig. 3a). In general, the current response (*i*) at a particular voltage contains pseudocapacitive contribution (*k*<sub>1</sub>*v*) and diffusion-limited contribution (*k*<sub>2</sub>*v*<sup>1/2</sup>) and is in exponential relationship with scan rate (*v*).

$$i = k_1 v + k_2 v^{1/2} = a v^b$$

Here, *k*<sub>1</sub>, *k*<sub>2</sub> and *a* are constants, *b*-value of 0.5 indicates a diffusion limited lithium storage while the *b*-value of 1 indicated pseudocapacitive lithium-ion storage. The scan rate and output current response in CV curves are directly proportional with the *b*-value of ~0.91 (Fig. 3b), indicating a surface limited pseudocapacitive lithium storage in SiO<sub>2</sub>/NC. Such surface limited pseudocapacitive lithium storage can facilitate



**Fig. 1.** (a) SEM image of SiO<sub>2</sub>/NC, (b) TEM image of SiO<sub>2</sub>/NC, (c) HRTEM image of SiO<sub>2</sub>/NC, (d) Bright-field TEM image of SiO<sub>2</sub>/NC and corresponding elemental mapping analysis for (e) Carbon (f) Nitrogen (g) Si and (h) Oxygen, (i) XPS spectrum of SiO<sub>2</sub>/NC, and de-convoluted XPS spectrum of (j) Si2p, and (k) N1s.



ultra-fast lithium-ion storage than convention anodes with sluggish diffusion limited lithium storage. The high pseudocapacitive lithium-ion storage is ascribed to the short lithium ion diffusion length and rapid electron flow due to favorable 3D nanoarchitecture. The 3D spherical architecture provides a large electrode-electrolyte contact area and favors quick pseudocapacitive storage. By calculating the  $k_1$ ,  $k_2$  values at a different voltage (Fig. S6), the ratio of capacitive lithium storage is evaluated and given in Fig. 3c. Moreover, a high pseudocapacitive contribution of  $\sim 92\%$  towards lithium-ion storage is observed at  $1 \text{ mV s}^{-1}$ .

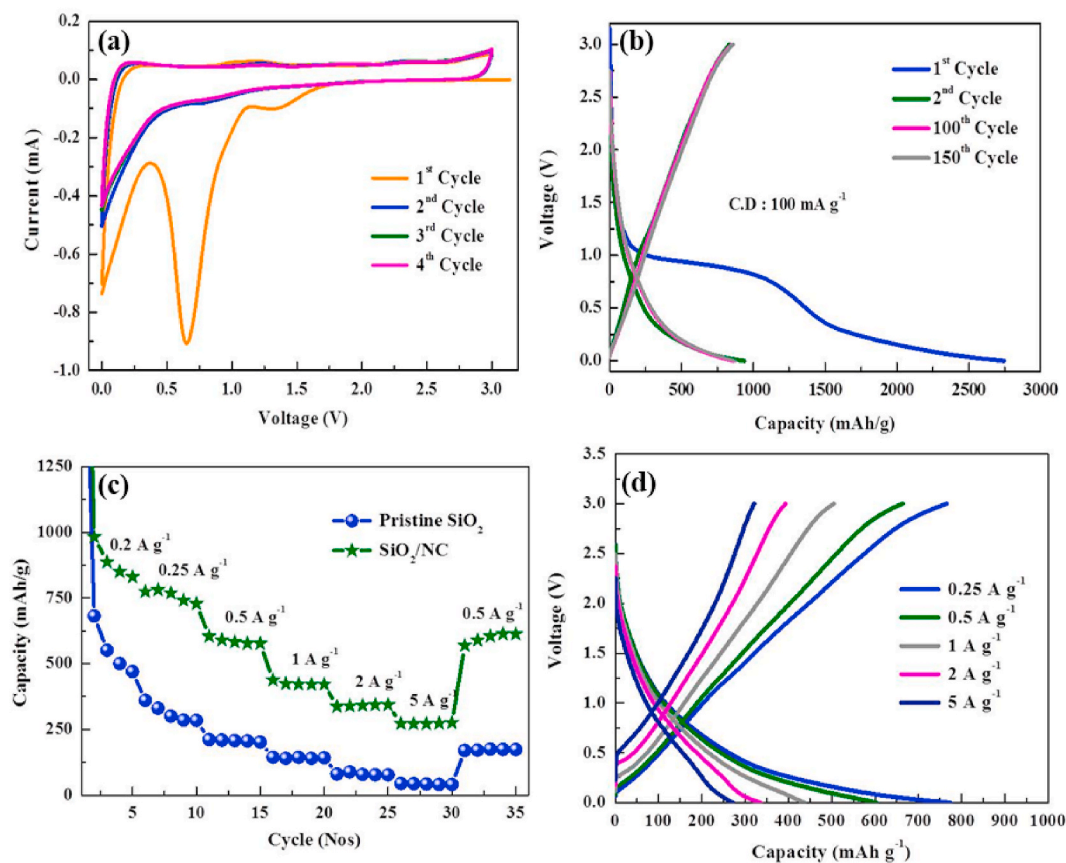
The long-term cycling performance of  $\text{SiO}_2/\text{NC}$  was evaluated directly at  $0.5 \text{ A g}^{-1}$  without any prior activation at low current, and the results are shown in Fig. S7. A slight and quick capacity decay during initial cycles were noted, and the capacity gets stabilized with  $\sim 600 \text{ mA h g}^{-1}$  within few cycles. After that  $\text{SiO}_2/\text{NC}$  showed robust stability in which capacity increases after specific cycles. This feature is more pronounced in alloy type anodes due to later activation of metal with cycling [56]. The non-metallic silica nanoparticles formed during the initial cycles are not activated earlier but get activated upon further cycling, and the capacity after the 500th cycle is  $525 \text{ mA h g}^{-1}$ . With extended cycling,  $\text{SiO}_2/\text{NC}$  can efficiently store lithium ion for 1000 cycles with ultra-low capacity degradation and the discharge capacity after the 1000th cycle is  $\sim 450 \text{ mA h g}^{-1}$ . However pristine  $\text{SiO}_2$  showed a continuous capacity degradation even under low current rate (Fig. S8). The long-term cyclability of  $\text{SiO}_2/\text{NC}$  was also studied at  $1 \text{ A g}^{-1}$  (Fig. 3d), and it demonstrated remarkable stability at higher current density also, along with high capacity retention even after 1000 cycles. For the practical LIBs, a superior stability at high areal mass loading is very essential, and so,  $\text{SiO}_2/\text{NC}$  is tested under a high areal mass loading of  $\sim 3.5 \text{ mg cm}^{-2}$  at  $0.5 \text{ A g}^{-1}$  (Fig. 3e) [57]. Although a slight capacity reduction is noted under high mass loading condition  $\text{SiO}_2/\text{NC}$  exhibited

**Table 1**A comparison on  $\text{SiO}_2$  based anode materials for lithium-ion battery.

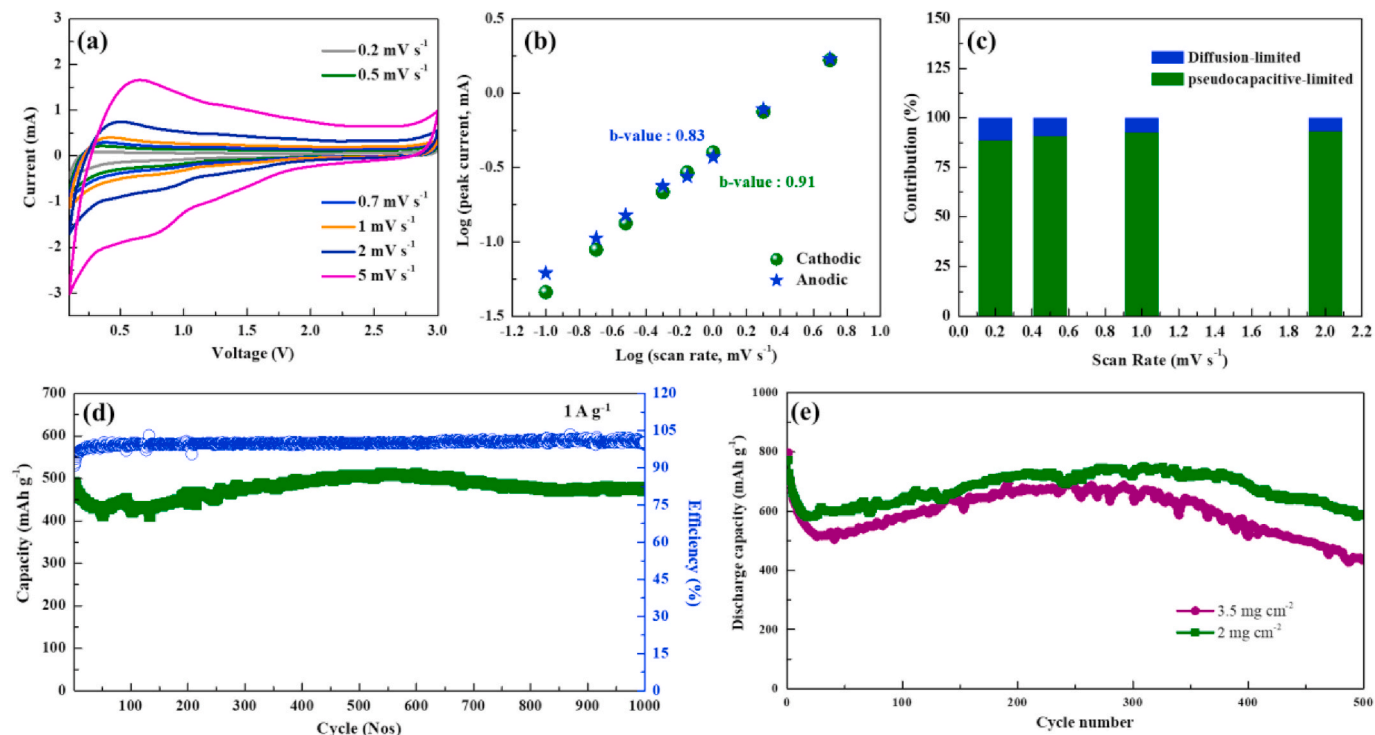
Anode Material	Current density	Initial charge-discharge specific capacity	Reversible capacity	Cycle number
$\text{SiO}_2/\text{C}$ hollow spheres [71]	$0.11 \text{ mAcm}^{-2}$	318.7/153.6	649.6	160
Carbon-coated silica macromolecules [72]	$0.2 \text{ mAcm}^{-2}$	281/95	222	100
Carbon coated $\text{SiO}_2$ nanoparticles [73]	$50 \text{ mA g}^{-1}$	536/900	500	50
$\text{SiO}_2$ -Carbon [74]	$70 \text{ mA g}^{-1}$	1055/458	601	100
$\text{SiO}_2$ -graphene composite [75]	$500 \text{ mA g}^{-1}$	—	300	110
$\text{SiO}_2$ nanocubes [25]	$100 \text{ mA g}^{-1}$	3084/1457	919	30
<b><math>\text{SiO}_2/\text{NC}</math> (our work)</b>	<b><math>100 \text{ mA g}^{-1}</math></b>	<b>2450/858</b>	<b>858</b>	<b>1000</b>

a similar capacity retention as low loading conditions ( $2 \text{ mg cm}^{-2}$ ). The 3D architecture of  $\text{SiO}_2/\text{NC}$  can overcome the kinetic issues, and pulverization issues as observed in conventional anodes. And, a robust stability in alloying type  $\text{SiO}_2$  anode outperforms previous reports, where a substantial volume change affects the stability and electrochemical performance.

This high performance is achieved mainly by embedding the  $\text{SiO}_2$  nanospheres between nitrogen-doped carbon outer-shell. Any volume changes can be easily accommodated by nanosphere regime between highly conductive carbon outer-shell. To validate the reason and to obtain insight, ex-situ TEM images of  $\text{SiO}_2/\text{NC}$  was taken after cycling and given in Fig. S9. The TEM images of  $\text{SiO}_2/\text{NC}$  after cycling shows the



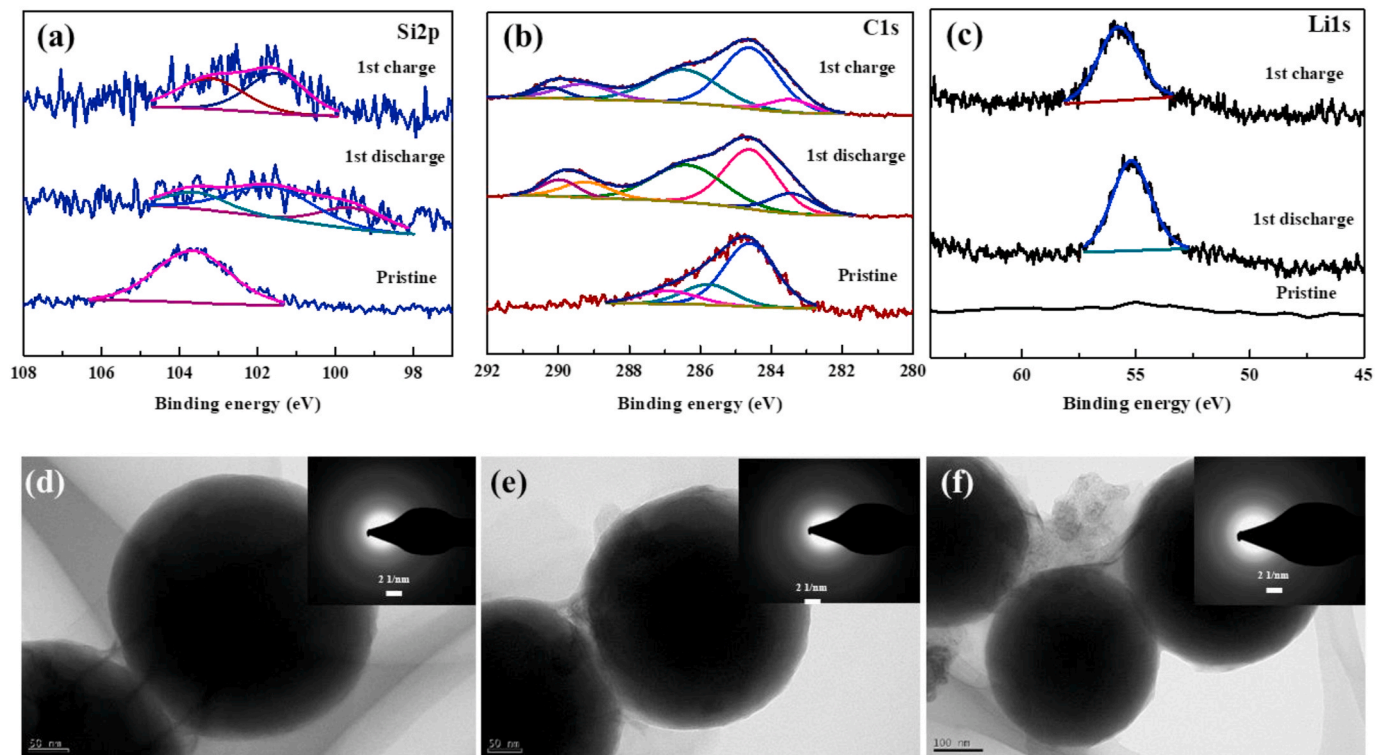
**Fig. 2.** (a) CV curves of  $\text{SiO}_2/\text{NC}$  at  $0.1 \text{ mV s}^{-1}$  vs.  $\text{Li}^+/\text{Li}^+$ , (b) Galvanostatic charge-discharge voltage profiles of  $\text{SiO}_2/\text{NC}$  at  $100 \text{ mA g}^{-1}$ , (c) Rate performance of  $\text{SiO}_2/\text{NC}$  comparison with pristine  $\text{SiO}_2$ , (d) galvanostatic charge-discharge voltage profiles of  $\text{SiO}_2/\text{NC}$  at different current rates.



**Fig. 3.** (a) CV curves of  $\text{SiO}_2/\text{NC}$  at different scan rate, (b) current vs. scan rate relationship for  $\text{SiO}_2/\text{NC}$ , (c) charge storage contributions at different scan rates, (d) cyclic stability at  $1 \text{ A g}^{-1}$ , and (e) Cyclic stability under different mass loading conditions ( $0.5 \text{ A g}^{-1}$ ).

spherical morphology of  $\text{SiO}_2$  particles is well preserved, and the carbon shell well adheres to particles even after robust cycling. Additionally, the nanospheres are well interconnected which is crucial for the continuous electron and lithium ion movement between the particles.

The lithium ion and electron flow path are substantially diminished with nanostructure and thereby favors high rate performance. The perfect integrity of  $\text{SiO}_2/\text{NC}$  particles plays a crucial role in maintaining high stability even with repeated alloying – dealloying reactions.



**Fig. 4.** Ex-situ XPS spectrum of  $\text{SiO}_2/\text{NC}$  recorded at different state (a)  $\text{Si}2\text{p}$ , (b)  $\text{C}1\text{s}$ , (c)  $\text{Li}1\text{s}$ , and Ex-situ TEM images of  $\text{SiO}_2/\text{NC}$  (d) before cycling, (e) after 1st discharge, (f) after 1st charge.

The lithium storage behavior in  $\text{SiO}_2/\text{NC}$  is further evaluated by ex-situ XPS technique obtained before cycling and at first discharge and charge state in Fig. 4. The Si 2p spectrum (Fig. 4a) of  $\text{SiO}_2/\text{NC}$  before cycling shows a strong  $\text{SiO}_2$  peak at  $\sim 103.8$  eV and after first discharge/charge process, a slight shift is observed in it, indicating the formation and decomposition of  $\text{Li}_2\text{Si}_2\text{O}_5$ . Moreover, a peak at  $\sim 101.5$  eV, indicates the formation of  $\text{Li}_4\text{SiO}_4$  and other  $\text{Li}_x\text{SiO}_y$  phases and these new peaks are still present even after delithiation, indicating the irreversibility of such phases. More importantly, the peak at  $\sim 99.6$  eV indicates the formation of metallic silicon during discharge and disappears on a subsequent charge which is in correlation with previously discussed reaction mechanism [58–60]. Therefore, it is confirmed that there is the reversible reaction of  $\text{Li}_2\text{Si}_2\text{O}_5$  and metallic Si and the irreversible reaction of  $\text{Li}_4\text{SiO}_4$  phase. The formation of SEI layer is further analyzed by

deconvoluted C1s spectrum in Fig. 4b. It can be seen the formation of  $-\text{CO}$  (290.2 eV), and  $\text{O}-\text{C}=\text{O}$  (289.2 eV) functionalities over C1s after first lithiation process and still available after delithiation process. This observation indicates the formation of the highly stable passivation layer over the  $\text{SiO}_2/\text{NC}$  particles. Furthermore,  $\text{Li}_{1\text{s}}$  spectrum (Fig. 4c) which is not present in  $\text{SiO}_2/\text{NC}$  before cycling is also present at  $\sim 55.2$  eV indicating the formation of smooth SEI layer over  $\text{SiO}_2/\text{NC}$ . The TEM images of  $\text{SiO}_2/\text{NC}$  recorded after first lithiation and de-lithiation in Fig. 4d–f, and Fig. S10 shows that the spherical morphology of  $\text{SiO}_2/\text{NC}$  is well maintained without any volume expansion, indicating their structural integrity. Additionally, the SAED pattern obtained after first discharge still shows the amorphous nature indicating the discharge products such as  $\text{Li}_2\text{Si}_2\text{O}_5$ , Si,  $\text{Li}_4\text{SiO}_4$ ,  $\text{Li}_{15}\text{Si}_4$  are still amorphous and this nature is well maintained after consecutive charge cycle. Such type

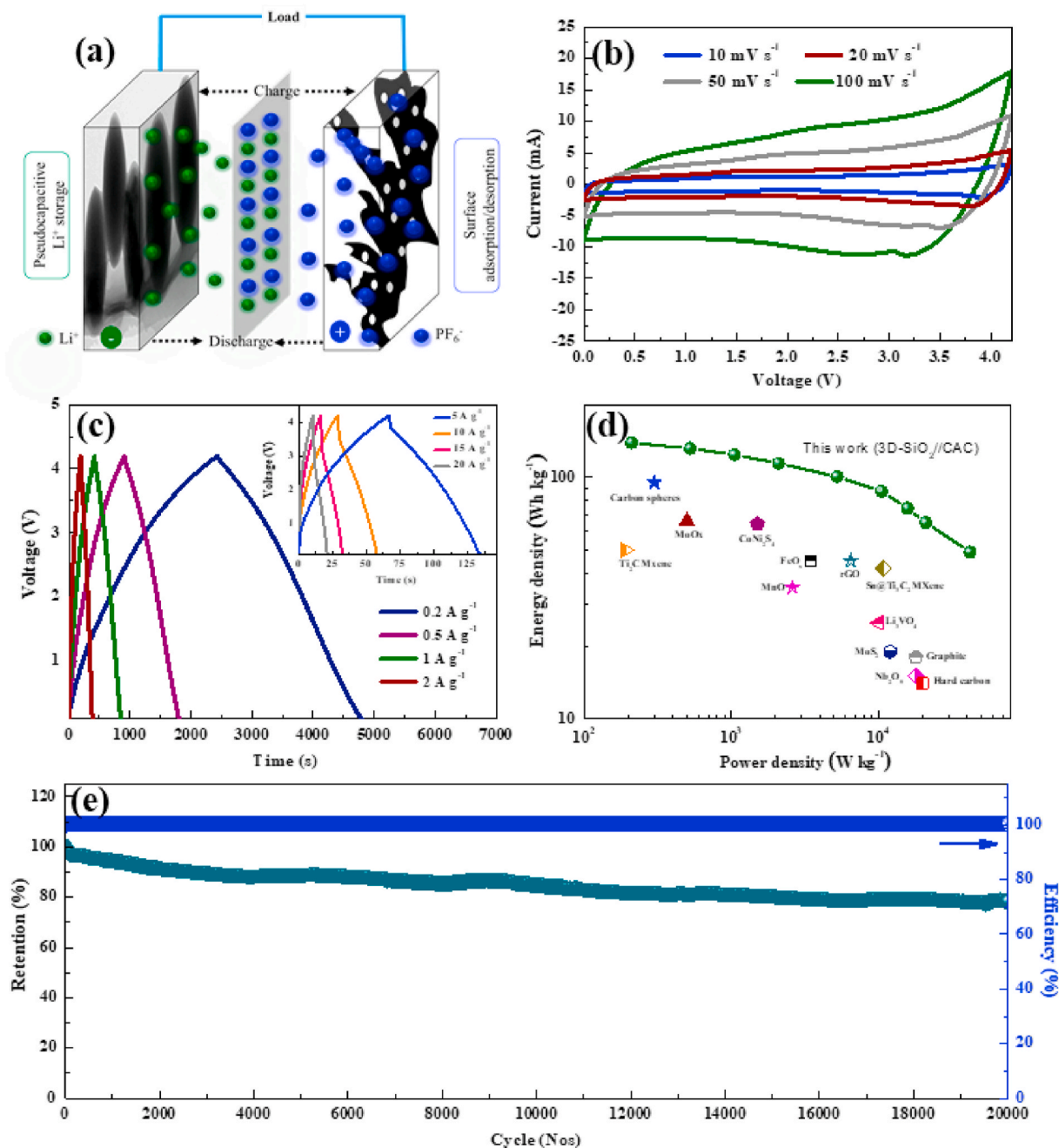


Fig. 5. Electrochemical Performance of LICs: (a) scheme on working mechanism, (b) CV curves, (c) CD curves at different current densities, (d) Ragone plot comparison with other systems, and (e) cyclic stability at  $7.5 \text{ A g}^{-1}$ .



of amorphous type of conversion and the alloying reaction is highly favorable for high capacity and stable lithium-ion storage.

To further understand the formation of SEI layer which is majorly  $\text{Li}_2\text{CO}_3$  and alkyl carbonates over the  $\text{SiO}_2/\text{NC}$  surface, electrochemical impedance spectroscopy was performed after first discharge and first charge (Fig. S11a). The fresh  $\text{SiO}_2/\text{NC}$  electrode shows a single large semi-circle at high-frequency region and followed by a Warburg impedance tail at low-frequency region originating from Li-ion diffusion into  $\text{SiO}_2/\text{NC}$ . This was due to the rapid charge transfer at the electrode/electrolyte interface and enhanced reaction kinetics of the electrode material. After the first charge, the Nyquist plot shows two semi-circles - the semi-circle in the high-frequency region is attributed to surface passivation SEI layer, and the other semi-circle at mid-frequency region corresponds to charge transfer resistance at electrode/electrolyte interface. On subsequent de-lithiation process, the two semi-circles changes to one indicate a partial decomposition of SEI layer due to significant volume expansion but with decreased charge transfer resistance. This could be attributed to the spherical architecture of  $\text{SiO}_2/\text{NC}$  anode that provides increased electrode/electrolyte contact area for lithium ion diffusion, and such phenomenon has been observed for other alloying type anodes [61,62]. Even after cycling the change in charge transfer resistance is much smaller, indicating a superior lithium storage kinetics and stability in  $\text{SiO}_2/\text{NC}$  (Fig. S11b). The reduced charge transfer resistance is ascribed to enhanced lithium ion and electron movement in  $\text{SiO}_2$  with nitrogen-doped carbon shell which facilitates the formation of the highly stable passivation layer over the  $\text{SiO}_2/\text{NC}$  particle [63].

## 2.2. Li-ion hybrid capacitor

Hybrid devices employ a capacitor type porous carbon cathode as adsorption electrodes for anions. Activated carbons (ACs) are traditionally used as cathode for LICs because of their high surface area. A hybrid device is fabricated by coupling  $\text{SiO}_2/\text{NC}$  as anode and ACs as cathode (Fig. 5a) and the electrochemical performance of LIC is evaluated between a wide voltage window (0–4.2 V). The CV curves of  $\text{SiO}_2/\text{NC}/\text{AC}$  hybrid device shows a quasi-rectangular shape profile with humps and it is well observed at all scan rates (Fig. 5b). Even at very high scan rate of  $100 \text{ mV s}^{-1}$ , the CV curves are well retained indicating the fast kinetics of LIC device. The current response from the CV curves are also noted to be linear with scan rate confirming the fast pseudocapacitive type reaction in LICs [64].

The charge-discharge curves of LICs in Fig. 5c shows a symmetric and nearly linear behavior with high coulombic efficiency, confirming the reversible nature of faradaic pseudocapacitive reactions in LICs. The reaction mechanism in LIC is as follows: (i) during charge process, anions ( $\text{PF}_6^-$ ) are adsorbed over porous carbon cathode, while Li-ion from electrolyte gets stored in  $\text{SiO}_2/\text{NC}$  anode, and (ii) during discharge process, reversal of charge process occurs. Even at high current rates ( $20 \text{ A g}^{-1}$ ), a high discharge time is observed, indicating the superior rate capability and kinetics of the LIC device.

The energy-power performance of LIC is evaluated by Ragone plot in Fig. 5d. The LIC constructed with  $\text{SiO}_2/\text{NC}$  delivered a high energy of  $\sim 139 \text{ Wh kg}^{-1}$  at a power density of  $210 \text{ W kg}^{-1}$ . Even at an ultra-power energy density of  $42,000 \text{ W kg}^{-1}$ , a high energy density of  $52.5 \text{ Wh kg}^{-1}$  is retained. The energy density behavior is far higher than the conventional electrical double layer capacitors (EDLCs) which often shows energy density less than  $30 \text{ Wh kg}^{-1}$ . It is also very essential to compare the energy – power behavior of the  $\text{SiO}_2/\text{NC}$  device with previously reported LICs and is shown in Fig. 5d and Table S1. The Ragone plot clearly depicts the superior performance of the  $\text{SiO}_2/\text{NC}$  system with high energy along with high power. In particular, the energy retention at high power conditions are remarkable with superior to several previously reported LIC and sodium-ion hybrid capacitors system based on intercalation compounds ( $\text{TiO}_2$ ,  $\text{Li}_4\text{Ti}_5\text{O}_{12}$ ,  $\text{V}_2\text{O}_5$ ), alloying type - metal oxides ( $\text{Fe}_3\text{O}_4$ ,  $\text{Nb}_2\text{O}_5$ ,  $\text{WO}_3$ ,  $\text{MnO}$ ), metal sulfides ( $\text{CoS}_2$ ,  $\text{SnS}_2$ ), metals

(Si, Sn), bi-metallic compounds (Mxenes,  $\text{CoNi}_2\text{S}_4$ ,  $\text{Co}_3\text{ZnC}$ ) and nano-structured carbon [5,12,64–67]. In addition, the LICs employing  $\text{SiO}_2$  delivered a remarkable stability, outperforming several previously reported anodes. Moreover, the LIC exhibited a remarkable stability with  $\sim 80\%$  capacitance along with high coulombic efficiency even after 20,000 cycles when tested at current density of  $7.5 \text{ A g}^{-1}$  (Fig. 5e). The stability outperforms the low cycle behavior exhibited by alloying type anodes. A flexible LIC is constructed by coupling  $\text{SiO}_2/\text{NC}$  anode, commercial AC cathode, and Li-ion conducting gel polymer electrolyte P(VDF-HFP) membrane. The polymer membrane possess a lot of pores that efficiently allows ion movement while providing high flexibility to the electrodes [38,68]. Fig. 6a shows the normalized energy density of as-fabricated flexible LICs, and it can be noted that the LICs output a steady energy output at different bending conditions. The as fabricated flexible LIC can steadily light “Red and White LEDs”, and the flexible LICs can steadily light LEDs even under different bending/twisted conditions, indicating the robustness of the flexible LICs (Fig. 6b–f). The flexible LIC can also retain high energy even under bending conditions, depicting the high safety of the device. The synergistic effect from high capacity  $\text{SiO}_2$  anode, and Li-ion conducting P(VDF-HFP) gel electrolyte outputs a flexible LIC with superior bendability.

The attractive performance of lithium-ion hybrid capacitor is majorly attributed to following factors. The well-connected - carbon shell supported  $\text{SiO}_2$  nanospheres bridges inherits the advantage of the high active surface area for fast Li-ion diffusion and electron flow. Most importantly such nanostructures significantly enhance the charge transfer resistance by providing short lithium and electron transport path. This architecture is highly favorable for achieving a large amount of lithium ion storage and so the LICs have high energy density even at high power conditions. This is synergistically supported by nitrogen heteroatoms over the carbon shell. The fast pseudocapacitive lithium-ion storage overcomes the sluggish diffusion limited storage to enable a fast lithium-ion storage and thereby achieving a high power density. A highly stable lithium-ion storage behavior is achieved by design strategy of embedding the  $\text{SiO}_2$  nanosphere into highly conductive heteroatom doped carbon shell. This design dramatically enhances the structural integrity of active material by overcoming the large the volume changes during repeated alloying and de-alloying reactions.

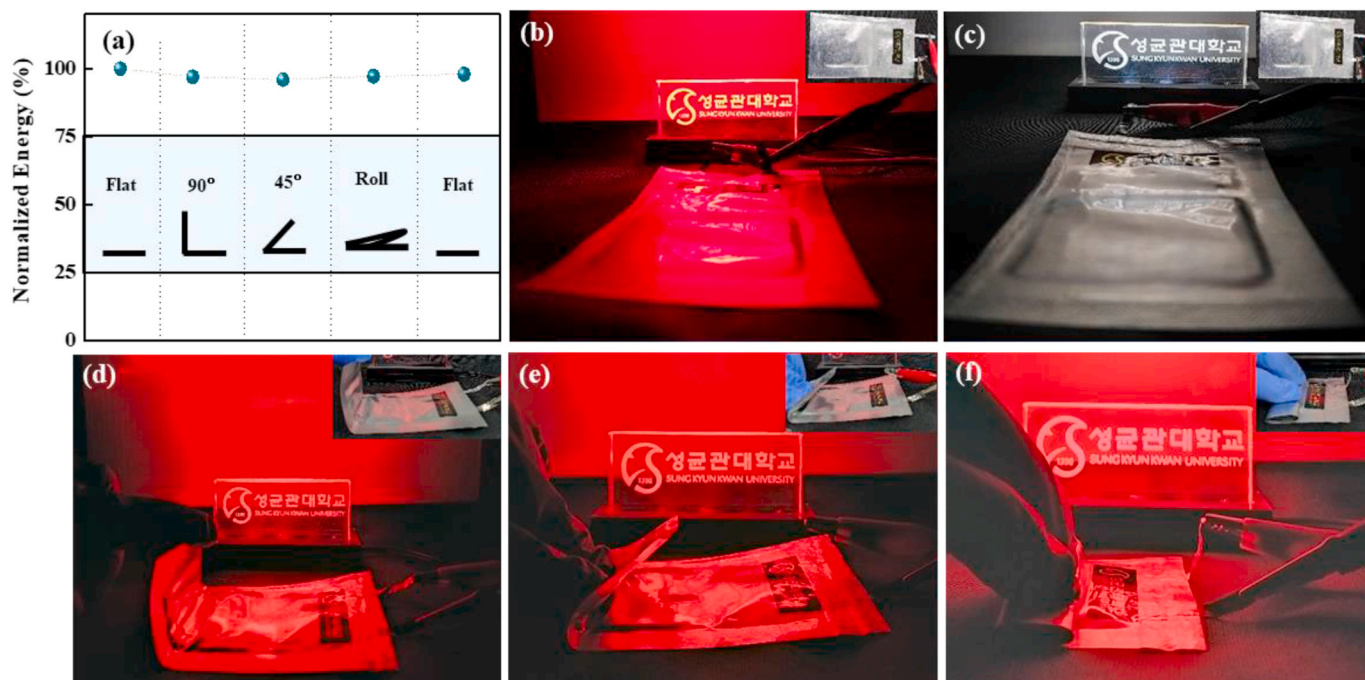
## 3. Conclusion

We have demonstrated  $\text{SiO}_2$  nanospheres embedded inside nitrogen-doped carbon shell as high performing pseudocapacitive anode materials for LIBs and LICs. The  $\text{SiO}_2/\text{NC}$  exhibited lithium storage with high discharge capacities of  $858 \text{ mAh g}^{-1}$  at  $0.1 \text{ A g}^{-1}$ ,  $275 \text{ mAh g}^{-1}$  at  $5 \text{ A g}^{-1}$ , and  $450 \text{ mAh g}^{-1}$  after 1000 cycles in half-cell configuration, which is the best performance documented by  $\text{SiO}_2$  anodes. In a Li-ion hybrid capacitor full-cell,  $\text{SiO}_2/\text{NC}$  outputs a high energy ( $139 \text{ Wh kg}^{-1}$ ), and high power density ( $42 \text{ kW kg}^{-1}$ ) – based on active mass in both electrodes, along with prolonged stability (20,000 cycles – 80%). The attractive electrochemical performance is competitive against conventionally studied metal oxide/sulfide anodes and encourages the research with an earth abundant material. The 3D architecture  $\text{SiO}_2$  in highly conductive carbon network favors a large and fast lithium ion uptake. The well interconnected  $\text{SiO}_2$  nanospheres confined in carbon shows high mechanical bonding that demonstrated excellent stability and rate performance. We believe the 3D nanoarchitecture design utilizing the earth abundant anode material hold great promise in next generation high energy and low-cost storage systems.

## 4. Experimental section

**Synthesis of  $\text{SiO}_2$  nanosphere:** The  $\text{SiO}_2$  nanospheres were synthesis based on renowned Stöber method [69,70]. A mixture of absolute ethanol, ammonia and distilled water in the volume ratio of 158:7.8:2.8 in a 250 mL round bottom flask at a  $50^\circ\text{C}$ , under stirring, followed by





**Fig. 6.** (a) Normalized energy retention of flexible LICs under different folding conditions, and (b–f) digital photographs of flexible LIC powering LED lights under different bending conditions: (b) Flat – Red LED, (c) Flat – White LED, (d) 90° bend, (e) 45° bend, (f) roll. (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)

the addition of 5.8 mL tetraethylorthosilicate in a dropwise under constant stirring for 24 h. Then washed with ethanol and dried throughout the night at 80 °C.

**Synthesis procedure of SiO<sub>2</sub>/NC nanosphere:** The SiO<sub>2</sub>/NC composite was synthesized by a thin film coating of nitrogen-doped carbon on the surface of SiO<sub>2</sub> nanosphere using guanine as a carbon and nitrogen source via a hydrothermal approach. One gram of guanine was dissolved in 75 mL of D. I water under continuous stirring for 30 min. Subsequently, 0.5 g of as prepared SiO<sub>2</sub> nanosphere was added into the above mixture. After this, the mixture was continuously stirred for 12 h. The resulting solution was transferred to 100 mL hydrothermal reactor flask and maintained at a temperature of 150 °C for 10 h. Then it was treated by filtration and washed with ethanol and distilled water for several times, and dried at 80 °C under vacuum for 12 h. Finally, the SiO<sub>2</sub>/NC nanocomposite was obtained by carbonizing in a furnace at 800 °C 2 h under Argon atmosphere.

**Material Characterization:** Material phase formation and crystalline nature have been confirmed by X-ray diffractometer (Rigaku, Miniflex 600). The morphology of the samples was carried out using field-emission scanning electron microscopy (FE-SEM, Hitachi, S-4800II, 3 kV) and a thin osmium coating has given before analyzing the samples. In order to study the oxidation state and understand the bonding nature of the material, the sample was characterized by X-ray photoelectron spectroscopy using a JEOL JPS-900 in an ultra-high vacuum X-ray photoelectron spectrometer with an Al monochromatized cathode source at 25 W. Furthermore to understand the surface area and porous nature of the material by using Brunauer–Emmett–Teller (BET), Barrett–Joyner–Halenda (BJH) and Harkins–Jura (H–J) models with a Micromeritics Tristar ASAP 2020. Transmission electron microscopy (TEM) images were recorded by using a Philips TecnaiF20, Holland instrument at 200 kV acceleration voltage. Raman spectra were obtained by using a Lab Ram HR-800 (Horiba, Japan) Raman dispersive spectrometer with 514.5 nm laser excitation. Elemental analysis (C, N, and O) of the samples was performed by using a LECOCHN microanalyzer.

**Fabrication of Li-ion Half Cells:** The electrochemical performance of

SiO<sub>2</sub> and SiO<sub>2</sub>/NC was evaluated using CR2032 coin cells. The electrodes had the following composition: 80% active material, 10% Super P (conductive carbon), and 10% PVDF binder. The electrode slurry was rolled over Stainless foil, and then dried at 160 °C, 4 h in a vacuum oven. Lithium foil is used as the counter electrode, and polypropylene separators were employed. The electrolyte consisted of 1 M LiPF<sub>6</sub> in 1:1 (v/v) ethylene carbonate (EC): dimethyl carbonate (DMC).

**Fabrication of Li-ion Hybrid Capacitor:** LICs were also assembled in CR2032 coin cells utilizing SiO<sub>2</sub>/NC as anode commercial activated carbon as cathode. Prior to LIC assembly, SiO<sub>2</sub>/NC electrodes were pre-activated by directly contacting it with a lithium metal using electrolyte (1 M LiPF<sub>6</sub> in EC: DMC) for 5 h to achieve a major lithiation potential, a conventional process used for LIC fabrication. Commercial activated carbon (surface area: ~1880 m<sup>2</sup> g<sup>-1</sup>) was used as cathode. The cathode electrodes had the similar composition as anode, and were processed under similar conditions. The electrochemical performance of the commercial activated carbon was discussed in our previous work briefly. A fresh cathode was coupled with SiO<sub>2</sub>/NC to realize a LIC device. For flexible LIC, the electrodes were sandwiched between P(VDF-HFP) membrane inside a polybag, and sealed inside a glovebox. Different bending/twisted tests were performed in the same flexible capacitor device. The fabrication process for P(VDF-HFP) membrane was given in our previous manuscript [38]. The P(VDF-HFP) membranes were soaked in 1 M LiPF<sub>6</sub> in EC: DMC electrolyte for 12 h to obtain the gel polymer electrolyte. Cyclic voltammetry and galvanostatic charge/discharge studies were performed using a Bio-Logic electrochemical workstation (SP-150, France) and a Won-A-Tech Battery tester (WBCS 3000, Korea), respectively. The power density of LIC was calculated as  $P = (I \times V) / 2$  W kg<sup>-1</sup>, where V is the working potential of LIC (V), and I is the current normalized by active mass in both electrodes (A g<sup>-1</sup>), and the energy density of LIC was calculated as  $E = (P \times t)$  Wh kg<sup>-1</sup>, where t is discharge time (h).

#### CRedit authorship contribution statement

**Ranjith Thangavel:** and, prepared the materials, and conducted the

electrochemical experiments, revised the manuscript written by. **Vignesh Ahilan:** prepared the materials, and conducted the electrochemical experiments, characterized the electrode materials, All the authors discussed and commented on the final manuscript. All authors have read and agreed to the published version of the manuscript.. **Megala Moorthy:** characterized the electrode materials, All the authors discussed and commented on the final manuscript. All authors have read and agreed to the published version of the manuscript.. **Won-Sub Yoon:** advised on electrochemical analysis, offered valuable comments on the work. **Sangaraju Shanmugam:** conceived the idea, offered valuable comments on the work. **Yun-Sung Lee:** and, offered valuable comments on the work.

### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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### Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.jpowsour.2020.229143>.

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